



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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DEC 03 2004

**GROUP 3600**

In Re Application of: Bradley J. Swearingen et al.  
Serial No.: 09/663,151  
Filed: September 15, 2000  
Art Unit: 3624  
Examiner: Narayanswamy Subramanian  
For: Method and System for Executing Trades  
in a User Preferred Security

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Response Under 37 C.F.R. §1.111**

Dear Sir:

This is a response to the Office Action dated May 25, 2004 due for reply by August 25, 2004. A Petition for Three Month Extension of Time is filed herewith to extend the period for reply to November 25, 2004. In view of the following remarks, reconsideration and withdrawal of the Examiner's rejection of the pending claims in this application is respectfully requested.

**Amendments to the Specification** are reflected on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks/Arguments** begin on page 23 of this paper.